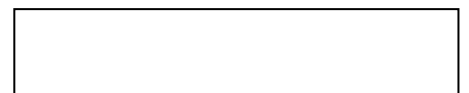




RFB NUMBER:	C-BRTA/HO/0075
DESCRIPTION/ PROJECT NAME:	OUTSOURCING OF THE INTERNAL AUDIT AND CHIEF AUDIT EXECUTIVE (CAE) FUNCTIONS FOR A PERIOD OF THIRTY-SIX (36) MONTHS
PUBLISH DATE:	28 AUGUST 2023
COMPULSORY BRIEFING SESSION DATE	04 SEPTEMBER 2023 2023 AT 11:H00 CBRTA 350 WITCH-HAZEL AVENUE, ECO POINT OFFICE PARK, BLOCK A, ECO- PARK, CENTURION PRETORIA
VALIDITY PERIOD:	90 DAYS FROM CLOSING DATE
CLOSING DATE:	27 September 2023
CLOSING TIME:	11H00 am
BID RESPONSES MUST BE HAND DELIVERED / COURIERED TO:	CBRTA 350 WITCH-HAZEL AVENUE, ECO POINT OFFICE PARK, BLOCK A, ECO- PARK, CENTURION PRETORIA (Bid Box at Reception) NB: Bidders must ensure that they sign the register at the reception when delivering their bids
ATTENTION:	Supply Chain Management
TENDER ENQUIRIES	All questions with regards the RFB may be submitted to tenders@cbrta.co.za via email for technical questions and the closing date for submission of questions is on 04 September 2023 at 15h00 pm.



--	--

BIDDER NAME: _____

- Bidders should ensure that Bids are delivered in time to the correct address. If the bid is late, it shall not be accepted for consideration.
- The C-BRTA reception is accessible from (07h30 to 16h00); 5 days a week (Monday to Friday).
- Bidders must ensure that they **sign the register** at the reception when delivering Bids.
- Bidders must advise their couriers of the instruction above to avoid misplacement of bid responses.

--

TABLE OF CONTENTS FOR RFB: CBRTA/HO/0075

- 1. MANDATORY QUALIFYING CRITERIA CHECKLIST**
- 2. ADMINISTRATIVE RETURNABLE DOCUMENTS CHECKLIST FOR EVALUATION PURPOSE**
- 3. OTHER ADMINISTRATIVE RETURNABLE DOCUMENTS**
- 4. CONDITIONS AND UNDERTAKINGS BY BIDDER**
- 5. BID CONDITIONS**
- 6. GENERAL CONDITIONS OF CONTRACTS**
- 7. TERMS OF REFERENCE**

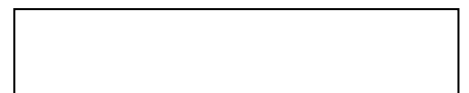
ANNEXURES:

ANNEXURE A: SBD 1 - INVITATION TO BID

ANNEXURE B: SBD 4 - DECLARATION OF INTEREST

ANNEXURE C: SBD 6.1 – PREFERENCE CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATION 2017

ANNEXURE D: SBD 7 - CONTRACT FORM



MANDATORY QUALIFYING CRITERIA CHECKLIST

YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	Proof of Central Supplier Database Registration.
<input type="checkbox"/>	<input type="checkbox"/>	In the case of Joint Ventures, bidder must submit a copy of the signed Joint Venture Agreement.
<input type="checkbox"/>	<input type="checkbox"/>	Submitted the response on or before the closing date and time in the C-BRTA tender box, specified on the cover page; list of received bid will serve as evidence.
<input type="checkbox"/>	<input type="checkbox"/>	Completed Pricing Schedule (SBD 3.1).
<input type="checkbox"/>	<input type="checkbox"/>	Technical Proposal Supporting the bid.
<input type="checkbox"/>	<input type="checkbox"/>	Attendance of compulsory briefing session (to be checked against attendance register).
<input type="checkbox"/>	<input type="checkbox"/>	Chief Audit Executive (CAE) requirement: <ul style="list-style-type: none">• Experience of the CAE: minimum of 10 or more years as Audit Partner or Director; and• Qualifications of the CAE: Certified Internal Auditor (CIA).
<input type="checkbox"/>	<input type="checkbox"/>	Valid evidence of IRBA registration of the company.

Failure to provide any of the mandatory returnable documents at the closing date and time of this bid will result in a Respondent's disqualification. Bidders are therefore urged to ensure that all these documents are returned with their proposals.

ADMINISTRATIVE RETURNABLE DOCUMENTS CHECKLIST FOR EVALUATION PURPOSE

YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	Preference Points Award Form in Terms of the Preferential Procurement (SBD 6.1)
		<ul style="list-style-type: none">• Valid copy of BBBEE certificate/ sworn affidavit:<ul style="list-style-type: none">✓ In case of unincorporated trust, consortium or joint venture, they must submit their consolidated B-BBEE scorecard with their <u>individual B-BBEE Certificate or Sworn Affidavit</u>.✓ In case of sub-contracting both parties must submit copies of their valid BBBEE certificates

--

Non-submission or invalid submission will result in zero points.

OTHER ADMINISTRATIVE RETURNABLE DOCUMENTS CHECKLIST FOR EVALUATION PURPOSE

YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	Tax Compliant in the CSD
<input type="checkbox"/>	<input type="checkbox"/>	Completed Bid Conditions
<input type="checkbox"/>	<input type="checkbox"/>	Signed General Condition of Contract
<input type="checkbox"/>	<input type="checkbox"/>	Completed SBD 1: Invitation to Bid
<input type="checkbox"/>	<input type="checkbox"/>	Completed SBD 4: Declaration of interest
<input type="checkbox"/>	<input type="checkbox"/>	Completed SBD 7.2: Contract Form

Failure to submit other administrative documents, the bidder will be given a maximum of two working days to submit, failure will render the bid response/submission as unacceptable, and therefore be disqualified.

Bidders who are not tax compliant will be afforded seven working days to correct non - compliance in the CSD, failure will render the bid unacceptable, and be disqualified. Bidders must submit proof of tax compliance within the seven-day period.

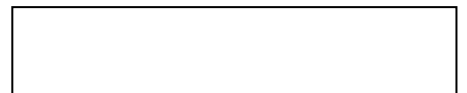
Kindly take note that:

Signed

Name in Print

Capacity

Date



1. Conditions and Undertakings by Bidder

- **The Bid forms should not be retyped, but photocopies may be prepared and used.**
 - However, only documents with the original signature in black ink shall be accepted. Additional offers against any item should be made on a photocopy of the page in question.
 - Black ink should be used when completing Bid documents.
 - Bidders should check the numbers of the pages to satisfy themselves that none is missing or duplicated. CBRTA will accept NO liability in regard to anything arising from the fact that pages are missing or duplicated.
 - I/We hereby Bid to supply all or any of the supplies and/or to procure all or any of the services described in the attached documents to CBRTA on the terms and conditions and in accordance with the specifications stipulated in the Bid documents (and which shall be taken as part of, and incorporated into, this Bid) at the prices inserted therein.
 - I/We agree that the offer herein shall remain binding upon me/us and open for acceptance by CBRTA during the validity period indicated and calculated from the closing hour and date of the Bid;
 - the laws of the Republic of South Africa shall govern the contract created by the acceptance of my/our Bid and that I/we choose domicilium citandi et executandi in the Republic as indicated below; and

NB: BIDDERS TERMS AND CONDITIONS ARE NOT ACCEPTABLE.

- I/We furthermore confirm that I/we have satisfied myself/ourselves as to the correctness and validity of my/our Bid that the price(s) and rate(s) quoted cover all the work/item(s) specified in the Bid documents and that the price(s) and rate(s) cover all my/our obligations under a resulting contract and that I/we accept that any mistakes regarding price(s) and calculations will be at my/our risk.
- I/We hereby accept full responsibility for the proper execution and fulfillment of all obligations and conditions devolving on me/us under this Bid as the Principal(s) liable for the due fulfillment of this contract.

Signature(s) of Bidder or assignee(s)

Date

Name of signing person (in block letters)

Capacity

Are you duly authorized to sign this bid?

Name of Bidder [company name] (in block letters)

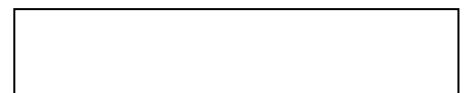
Postal address (in block letters)

Domicilium citandi et executandi in the RSA (full street address of this place) (in block letters)

.....
.....
.....
.....

Telephone Number: Fax Number:

Cell Number:



2. Bid Conditions

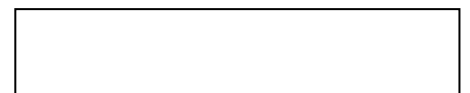
Bidders shall provide full and accurate answers to all (including mandatory) questions posed in this document, and, are required to explicitly state either "Comply" or "Not Comply" or "Partial" (with a √)" regarding compliance with the requirements. Where necessary, the bidder shall substantiate their response to a specific question.

It is mandatory for the bidders to comply with the all bid conditions indicated as follows.

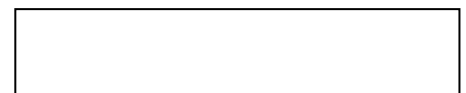
- A "√" under "Comply" will be interpreted as full compliance / acceptance to the applicable paragraph.
- A "√" under "Not Comply" will be interpreted that the Bidder/s has/have read and understood the paragraph, but the bidder does **not accept** the content of the applicable paragraph and will be disqualified.
- A "√" under "Partial" will be interpreted and evaluated objectively against explanations and supporting documentation accordingly. Note: **If PARTIAL is indicated as the level of compliance and NO supporting documentation is provided that clearly clarifies the Bidder/s position, the paragraph will be evaluated as "Non Comply" and will be disqualified.**

The following bid conditions will govern the contract between the C-BRTA and the successful bidder:

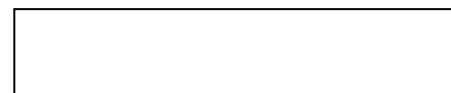
Requirement	Comply	Partial Comply	Not Comply
3.1. Bidders are invited to offer the Services in accordance with the attached Specifications and the conditions within this document.			
3.2. Successful Bidder/s will be contracted to procure the Services for a period to be agreed after which CBRTA reserves the right to review and extend the contract for further period/s at the CBRTA discretion.			
3.3. The fees will be negotiated.			
Interpretation of requirements	Comply	Partial Comply	Not Comply



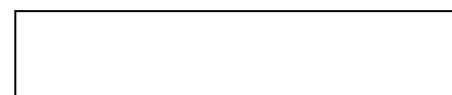
<p>3.4. The Bidder/s shall accept CBRTA interpretation of any specific requirement in the Bid documents or Specifications should there be a difference of interpretation between the Bidder/s and CBRTA. Should any dispute arise as a result of this Bid and / or the subsequent contract, which cannot be settled to the mutual satisfaction of the Bidder/s and CBRTA, it shall be dealt with in terms of General Conditions of Contract of this document.</p>			
<p>3.5. Should there be any discrepancies between the Bid conditions and any other documentation that forms part of this Bid, the Bid conditions shall take preference.</p>			
<p>Documentation</p>	<p>Comply</p>	<p>Partial Comply</p>	<p>Not Comply</p>
<p>3.6. Fully comprehensive service documentation shall be supplied in English by each Bidder, which shall explicitly and detail, describe the service/s offered. This documentation shall include sufficient detail to clearly give the reader a precise and unambiguous description of the service/s offered. Incomplete or incomprehensive service documentation will result in rejection of the offer.</p>			
<p>3.7. Bidder's name and address should clearly appear on the outside of Bid documents and on envelope.</p>			
<p>3..8. Acceptance of any Bids will only indicate, without any obligations on the part of either CBRTA and/or a Bidder, the willingness of such parties to enter into negotiations, which may or may not result in a contract/order as the case may be.</p>			



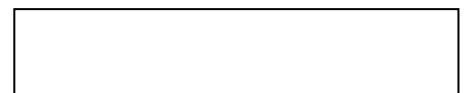
3.9. CBRTA reserves the right to make a selection solely on the information received in the Bids or to negotiate further with one or more Bidder/s.			
3.10. The Bidder/s selected for further negotiations, if any, will be chosen on the basis of the greatest benefit to CBRTA and not necessarily on the basis of lowest price or any other criteria.			
3.11. Should CBRTA consider it necessary, the Bidder/s shall agree to an inspection of the resources and works of the Bidder, if so requires.			
3.12. Should CBRTA consider it necessary, CBRTA will visit the Bidder/s customer sites.			
3.13. CBRTA reserves the right:			
3.13.1. to cancel this Bid at any time;			
3.13.2. not to accept any Bids;			
3.13.3. to accept one or more Bids for further negotiation and;			
3.13.4. to contact any Bidder during the evaluation period, to clarify information only, without informing any other Bidder.			
Copyright	Comply	Partial Comply	Not Comply
3.14. The specifications are the intellectual property of CBRTA.			
3.15. The contents of any specifications are the property of CBRTA and are confidential. It shall not in any manner be reproduced, destroyed, lent or given away without the permission.			
Precedence	Comply	Partial Comply	Not Comply
3.16. All details, dimensions and instructions shown on any drawings, diagrams and			



specifications quoted, shall form part of this bid document.			
3.17. If there is any contradictory requirements between the specifications, the drawings referred to and other specifications that have been quoted, the order of precedence, from highest to lowest is: <ul style="list-style-type: none"> • Statutory and mandatory requirements, • This bid document, • Contract Conditions. 			
Alternative suppliers	Comply	Partial Comply	Not Comply
3.18. The Bidder accepts that the CBRTA will have the right to contract with any other Service Provider for provision of services not covered by this specification.			
3.19. Bidder must also submit: A written statement to the specification of CBRTA by the bidder, that none of his shareholders, personnel and partners have any involvement or writing the specification of this bid.			
Submission of Bid	Comply	Partial Comply	Not Comply
3.20. CBRTA will also reject an offer if the Bidder/s fail to complete the compliance section/s in the format as previously described.			
Service approval	Comply	Partial Comply	Not Comply
3.21. The Procuring of the Services shall not take place until CBRTA has given final approval of all procedures.			
Additional Criteria	Comply	Partial Comply	Not Comply
3.22. CBRTA will evaluate the bids against the following criteria:			



<ul style="list-style-type: none"> • Compliance to the Specifications/ Functionality • Price • BBEE • Compliance to Bid Conditions 			
Broad Based Black Economic Empowerment	Comply	Partial Comply	Not Comply
3.23. CBRTA has established a programme of economic empowerment in its procurement strategies. In this regard, companies are required to indicate their involvement, current and planned, with black businesses and professionals. This will for an important part of the evaluation criteria to be used. CBRTA reserves the right to request all relevant information, agreements and other documents to verify information supplied in response hereto.			
Addenda	Comply	Partial Comply	Not Comply
3.24. In the event that modifications, clarifications or additions which will form addenda to the RFB become necessary, all Bidders will be notified, in writing.			
Preparation Costs	Comply	Partial Comply	Not Comply
3.25. All costs incurred in the preparation, presentation and demonstration of the response shall be for the account of the bidder. All supporting documentation and manuals submitted with the Bid will become CBRTA property unless otherwise stated by the Bidder/s at the time of submission.			
Confidential Material	Comply	Partial	Not



		Comply	Comply
3.26. Any material submitted by the Bidder/s, which is considered to be confidential in nature, must be clearly marked as such.			
Payment Terms – Local Creditors	Comply	Partial Comply	Not Comply
3.27. Payments of invoices will be effected within 30 days of receipt of a correct and original invoice.			
3.28. Invoices and statements should be submitted after CBRTA has acknowledged receipt of the services procured or goods supplied.			

Please note and accept the following clauses of CBRTA conditions and Procedures governing the Procurement of Services.

3.29. Contract Negotiations

The C-BRTA, together with the successful Bidder, will work out the service levels indicating activities, staff, and logistics and reporting, as per the Master Service Agreement (MSA). The agreed program and service levels will then be incorporated in the “Support Services SOW” and will form part of the agreement.

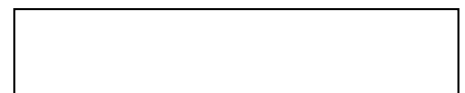
3.30. Awarding the Bid

The C-BRTA reserves the right to award to one or more Bidders. All Bidders will be notified of the Bid Outcomes after final award.

3.31. Contract Termination

A contract/s with a successful Bidder/s may be terminated by the CBRTA on the grounds of valid commercial or operational requirements that were not foreseen at the time of the Request for Bid being submitted and the contract being entered into. The CBRTA, if it wishes to terminate the contract, shall be required to give 30 (thirty) days written notice of its intention to terminate the contract. Such notice must be preceded by bona fide discussion between the CBRTA and the successful Bidder. In this instance the CBRTA shall only remain liable for all amounts due to the successful Bidder with respect to the period ending on the date of the cancellation, and shall not be held liable for any damages or losses on the basis of such a termination of the contract.

3.32. Dispute Resolution



3.32.1. All disputes arising out of this Bid or relating to the legal validity of this Bid or any part thereof shall be resolved under this paragraph. The parties must refer any dispute to be resolved by:

- Negotiation, in terms of paragraph 3.31.3; failing which
- Mediation, in terms of paragraph 3.31.4; failing which
- Arbitration, in terms of paragraph 3.31.6.

3.32.2. In the Paragraph Clause 3.31.1 shall not preclude any party from access to an appropriate court of law for interim relief in respect of urgent matters by way of an interdict, or mandamus pending finalization of the dispute resolution process contemplated in paragraph 3.31.1, for which purpose the parties irrevocably submit to the jurisdiction of a division of the High Court of the Republic of South Africa.

3.32.3. Within ten (10) days of notification, the parties must seek an amicable resolution to the dispute by referring the dispute to designated and authorized representatives of each of the parties to negotiate and resolve the dispute. If an amicable resolution to the dispute is found the authorized representatives of the parties must sign, within the ten (10) day period, a statement confirming that the dispute has been resolved.

3.32.4. If negotiation in terms of paragraph 3.31.3 fails, the parties must, within fifteen (15) days of the negotiations failing, refer the dispute for resolution by mediation under the rules of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).

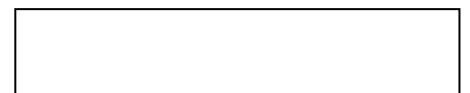
3.32.5. The periods for negotiation (specified in paragraph 3.31.3) or for referral of the dispute for mediation (specified in paragraph 3.31.4.), may be shortened or lengthened by written agreement between the parties.

3.32.6. In the event of the mediation contemplated in paragraph 3.31.3 failing the parties shall refer the dispute, within fifteen (15) days of the mediation failing, for resolution by expedited arbitration under the current rules of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).

3.32.7. A single arbitrator shall be appointed by agreement between the parties within ten (10) days of the dispute being referred for arbitration, failing which the arbitrator shall be appointed by the Secretariat of the Arbitration Foundation of Southern Africa (or its successor or body nominated in writing by it in its stead).

3.32.8. At all times, every reasonable effort shall be made to ensure that such arbitrator has the necessary technical skills to enable him to adjudicate the dispute in a satisfactory manner.

3.32.9. The arbitration shall be held at Sandton, South Africa, in English.



3.32.10. The South African law shall apply.

3.32.11. The parties shall be entitled to legal representation.

3.32.12. The award of the arbitrator shall be final and binding on the parties, who hereby agree to give effect to the award. Either party shall be entitled to have the arbitrator's award made an order of court at the cost of the party requesting same.

3.32.13. This paragraph shall constitute the irrevocable consent of the parties to the dispute resolution proceeding in terms hereof and neither of the parties shall be entitled to withdraw there from or to claim at any arbitration proceedings that they are not bound by the arbitration provisions of this Bid.

3.32.14. Both parties shall comply with all the provisions of the RFB and with all due diligence during the determination of such dispute should the latter arise during the course of the RFB.

3.33. PAYMENT TERMS - LOCAL CREDITORS

3.33.1. Original, detailed, correct and complete tax invoices, monthly statements (where applicable), VAT registration numbers (where applicable), verification of bank details (in the format required) and any other relevant supporting documents must be submitted to the CBRTA after it has acknowledged receipt in writing of the services procured or goods received, to its satisfaction.

3.33.2. Payment shall be effected by electronic bank transfer or any other method of payment decided to be used by the CBRTA from time to time and at the CBRTA's sole discretion.

3.33.3. Payment shall furthermore be subject to the CBRTA's standard Special Terms and Conditions of Contract, which if applicable shall prevail over this clause in all instances.

3.34. TERMINATION

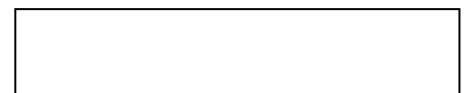
3.34.1. The following clause will be applicable to all contracts entered into/orders placed by CBRTA:

If, at any time during the currency of this Bid and subsequent contract/order, CBRTA in its reasonable discretion determines that the other party has, in respect of this bid, contract/order or any other contract/order or agreement to which they were or are parties to:

(a) Acted dishonestly and/or in bad faith, and/or

(b) Has made any intentional or negligent misrepresentation to CBRTA whether in any negotiations preceding the conclusion of, or in the execution of this RFB or any other agreement between the parties,

Then CBRTA shall be entitled by written notice to the other party forthwith to cancel this contract/order. Upon such cancellation, CBRTA shall be entitled, in addition to all other remedies available to it, to recover from the other party all damages it has suffered by virtue of such conduct by the other party. The CBRTA shall be entitled to withhold payment in respect



thereof for a period of 90 (ninety) days from the date of cancellation in order to investigate the party's conduct and any damages suffered by CBRTA. No payment by CBRTA to the other party after the lapse of such period shall preclude CBRTA thereafter, from recovering from the other party any such damages as it may have suffered.

3.35. SPECIFIC INFORMATION REQUIRED

For ease of reference and evaluating purposes, please furnish replies under the same headings and refer individually to all specific paragraph numbers. Please be clear in your response and use definite answers.

3.36. COPIES REQUIRED

Bidders are required to submit One Original, together with three (3) copies clearly marked "original" and "copy". Plus a soft copy version of a complete bid document and its supporting documentations, preferably USB or CD Marked with a company name

3.37. GENERAL VENDOR INFORMATION

The following general information is required from the prospective vendor:

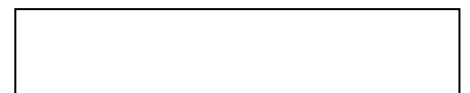
Name of Company/Trading as:

- Postal Address
- Street Address
- Telephone and facsimile numbers
- Company Head Office:
- Postal Address
- Street Address
- Telephone and facsimile numbers
- Contact Numbers

List of Directors/Partners/affiliated companies with proof of shareholding with this companies/trust - **Compulsory**

List of shareholders (Certified original copies of individual share certificates/certified original copies of Cipro registration document indicating the following - **Compulsory**

- (a) members with percentage interest
- (b) date of registration
- (c) Company registration number



Draw or attach the organizational structure of your company:

- a) Ownership structure, i.e. the % shareholding by major investors and controlling interest in affiliated companies.
- b) Basic functional structure, i.e. the administrative section of your company with which CBRTA will be dealing on a day-to-day basis.

3.38. INFRASTRUCTURE

- Would you describe your business as international, national or regional?
- All branches and offices of your company countrywide (Republic of South Africa) together with telephone numbers.

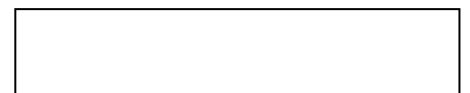
3.39. ACTIVITY AND SERVICE PROFILE

- Detailed description of main field of expertise/area of operation of company.
- Range of services offered.
- Reference list of some contracts completed during the last 3 to 5 years, including value, duration, location and contact persons

3.40. REASONS FOR DISQUALIFICATION

3.40.1. The CBRTA reserves the right to disqualify any bidder which does any one or more of the following, and such disqualification may take place without prior notice to the offending bidder, however the bidder shall be notified in writing of such disqualification:

- (a) bidders who are not tax compliant in accordance with the National Treasury Central Supplier Database (CSD);
- (b) bidders who submitted incomplete information, incomplete and annexures and documentation according to the requirements of this Bid;
- (c) bidders who submitted information that is fraudulent, factually untrue or inaccurate, for example memberships that do not exist, BBBEE credentials, experience, etc.;
- (d) bidders who received information not available to other vendors through fraudulent means;
- (e) bidders who submit tippexed bids; and/or
- (f) bidders who do not comply with mandatory/minimum requirements as stipulated in this Bid.



3.41. There shall be **no public opening** of the Bids received; however, the list of bids received may be published on the CBRTA website. There shall be no discussions with any enterprise until evaluation of the proposal has been completed. Any discussions shall be at the discretion of the CBRTA.

3.42. No Bids from any bidder with offices within the RSA shall be accepted if sent via the Internet or e-mail.

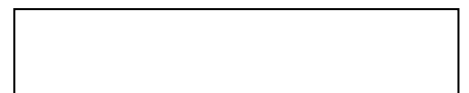
3.43. ENQUIRIES

Enquiries regarding this Bid should be submitted via e-mail quoting the bid number to:

Supply Chain Management	tenders@cbrta.co.za
-------------------------	----------------------------

Enquiries should reference specific paragraph numbers, where appropriate.

All questions / enquiries must be forwarded in writing not later than 15h00 pm on 04 September 2023. Questions / enquiries received on 04 September after 15h00 pm WILL NOT be considered.



4. GENERAL CONDITIONS OF CONTRACT

4.1. Definitions

The following terms shall be interpreted as indicated:

4.1.1 “Closing time” means the date and hour specified in the bidding documents for the receipt of bids.

4.1.2 “Contract” means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.

4.1.3 “Contract price” means the price payable to the supplier under the contract for the full and proper performance of this contractual obligation.

4.1.4 “Corrupt practice” means the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution.

4.1.5 “Countervailing duties” are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.

4.1.6 “Country of origin” means the place where goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basis characteristics or in purpose or utility from its components.

4.1.7 “Day” means calendar day.

4.1.8 “Delivery” means delivery in compliance of the conditions of the contract or order.

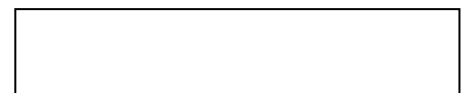
4.1.9 “Delivery ex stock” means immediate delivery directly from stock actually on hand.

4.1.10 “Delivery into consignees store or to his site” means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.

4.1.11 “Dumping” occurs when a private enterprise abroad market its good on own initiative in the RSA at lower prices than that of the country or origin and which have the potential to harm the local industries in the RSA.

4.1.12 “Force majeure” means an event beyond the control of the supplier and not involving the supplier’s fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or resolutions, fires floods, epidemics, quarantine restrictions and freight embargoes.

4.1.13 “Fraudulent practice” means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices



at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.

4.1.14 “GCC” mean the General Conditions of Contract.

4.1.15. “Good” means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.

4.1.16. “Imported content” means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.

4.1.17. “Local content” means that portion of the bidding price, which is not included in the imported content provided that local manufacture does take place.

4.1.18. “Manufacture” means the production of products in a factory using labour, materials components and machinery and includes other related value-adding activities.

4.1.19. “Order” means an official written order issued for the supply of goods or works or the procuring of a service.

4.1.20. “Project site” where applicable, means the place indicated in bidding documents.

4.1.21. “Purchaser” means the organization purchasing the goods.

4.1.22. “Republic” means the Republic of South Africa.

4.1.23. “SCC” means the Special Conditions of Contract.

4.1.24. “Services” means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such obligations of the supplier covered under the contract.

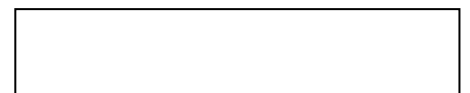
4.1.25. “Written” or “in writing” means handwritten in ink or any form of electronic or mechanical writing.

4.2. Application

4.2.1. These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

4.2.2. Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.

4.2.3. Where such special conditions of contract are in conflict with these general conditions, the special shall apply.



4.3. General

4.3.1. Unless otherwise indicated in the bidding documents, the purchase shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.

4.3.2. With certain exceptions, invitations for bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from www.treasury.gov.za.

4.4. Standards

4.4.1. The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

4.5. Use of contracts documents and information

4.5.1 The supplier shall not, without the purchaser's prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only as far as may be necessary for purposes of such performance.

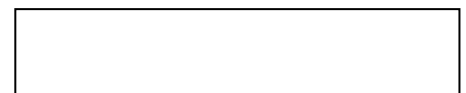
4.5.2. The supplier shall not, without the purchaser's prior written consent, make use of any document or information mentioned in GCC clause 4.5.1 except for purposes of performing the contract.

4.5.3 Any document, other than the contract itself mentioned in GCC clause 4.5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier's performance under the contract if so required by the purchaser.

4.5.4. The supplier shall permit the purchaser to inspect the supplier's records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

4.6. Patent rights

4.6.1. The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.



4.7. Performance Security

4.7.1. The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contracts.

4.7.2. The performance security shall be denominated in the currency of the contract, or in freely convertible currency acceptable to the purchaser and shall be in one of the following:

- (a) a bank guarantee or an irrevocable letter or credit issued by a reputable bank located in the purchaser's country or broad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
- (b) a cashier's or certified cheque

4.7.3. The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

4.8. Inspections, tests and analyses

4.8.1. All pre-bidding testing will be for the account of the bidder.

4.8.2. If it is a bid condition that supplies to be produced or services to be procured should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.

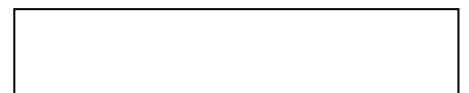
4.8.3. If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payments arrangements with the testing authority concerned.

4.8.4. If the inspection, test and analyses referred to in clauses 4.8.2 and 4.8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.

4.8.5. Where the supplies or services referred to in clauses 4.8.2 and 4.8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.

4.8.6. Supplies and services, which are, referred to in clauses 4.8.2 and 4.8.3 and which do not comply with the contract requirements may be rejected.

4.8.7. Any contract supplies may, on or after delivery, be inspected; tested or analyzed and may be rejected if found no to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the



requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchase may without giving the supplier further opportunity to substitute the rejected supplies purchase such supplies as may be necessary at the expense of the supplier.

4.8.8. The provisions of clauses 4.8.4 to 4.8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 5. 23 of GCC.

4.9. Delivery and documents

4.9.1. Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

4.9.2. Documents to be submitted by the supplier are specified in SCC.

4.10. Insurance

4.10.1. The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

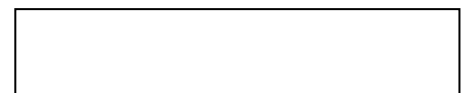
4.11. Transportation

4.11.1. Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

4.12. Incidental services

4.12.1. The supplier may be required to provide any or all of the following services, including additional services, if any specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;
- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and
- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.



4.12.2. Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

4.13. Spare parts

4.13.1. As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

- (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
 - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
 - (ii) Following such termination, furnishing at no cost to the purchaser, the blueprints, drawings and specifications of the spare parts, if requested.

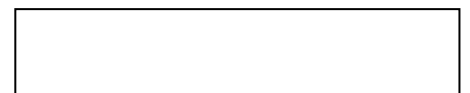
4.14. Warranty

4.14.1. The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

4.14.2. This warranty shall remain valid twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

4.14.3. The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

4.14.4. Upon receipt of such notice, the supplier shall, with the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to be purchaser.



4.14.5. If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

4.15. Payment

4.15.1. The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.

4.15.2. The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.

4.15.3. Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.

4.15.4. Payment will be made in Rand unless otherwise stipulated in SCC.

4.16. Prices

4.16.1. Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.

4.17. Contract Amendments

4.17.1. No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.

4.18. Assignment

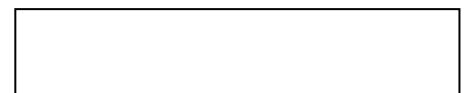
4.18.1. The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.

4.19. Subcontracts

4.19.1. The supplier shall notify the purchaser in writing of all subcontracts awarded under this contract if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.

4.20. Delays in the supplier's performance

4.20.1. Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.



4.20.2. If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and my at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.

4.20.3. No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or local authority.

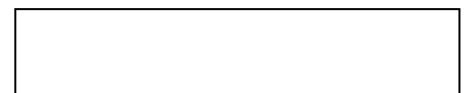
4.20.4. The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

4.20.5. Except as provided under GCC clause 4.25, a delay by the supplier in the performance of its delivery obligations shall procure the supplier liable to the imposition of penalties, pursuant to GCC clause 4.22, unless an extension of time is agreed upon pursuant to GCC clause 4.21.2 without the application of penalties.

4.20.6. Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without cancelling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods no supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

4.21. Penalties

4.21.1. Subject to GCC clause 4.25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC clause 4.23.



4.22. Termination for default

4.22.1. The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC clause 4.21.2;
- (b) if the supplier fails to perform any other obligation(s) under the contract; or if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

4.22.2. In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminate.

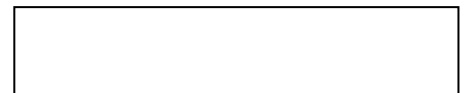
4.23. Anti-dumping and countervailing duties and rights

4.23.1. When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required of imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favorable difference shall on demand be paid forthwith by the contractor to the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or procured, or is to deliver or procure in terms of the contract or any other contract or any other amount which may be due to him.

4.24. Force Majeure

4.24.1. Notwithstanding the provisions of GCC clauses 4.22 and 4.23, the supplier shall not be liable for forfeiture or its performance security, damages or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.

4.24.2. If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is



reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

4.25. Termination for insolvency

4.25.1. The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will prejudice or affect any right of action or remedy, which has accrued or will accrue thereafter to the purchaser.

4.26. Settlement of Disputes

4.26.1. If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.

4.26.2. If, after thirty (30) days, the parties have failed to resolve their dispute or difference by much mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.

4.26.3. Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.

4.26.4. Mediation proceedings shall be conducted in accordance with the rules or procedure specified in the SCC.

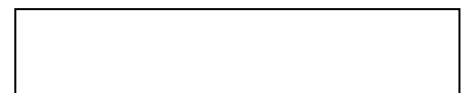
4.26.5. Notwithstanding any reference to mediation and/or court proceedings herein,

- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
- (b) the purchaser shall pay the supplier any monies due the supplier.

4.27. Limitation of liability

4.27.1. Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to clause 5.6;

- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss or use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and
- (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price,



provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.

4.28. Governing language

The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.

4.29. Applicable law

4.30.1. The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.

4.30. Notices

4.30.1. Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice.

4.31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.

4.31. Taxes and duties

4.31.1. A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.

4.32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.

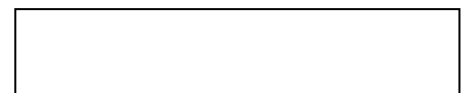
4.32.3. No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.

4.33. National Industrial Participation (NIP) Programme

The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contract that are subject to the NIP obligation

4.34. Prohibition of Restrictive Practices

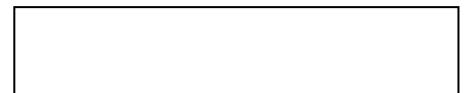
4.34.1. In terms of section (4) (1) (b) (iii) of the Competition Act no 89 of 1998, as amended, an agreement between, or concerned practice by, firms or a decision by an association of



firms, is prohibited if it is between parties in a horizontal relationship and if a bidder(s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).

4.34.2. If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act no 89 of 1998.

4.34.3. If a bidder(s) or contractor(s) has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.



TERMS OF REFERENCE: INTERNAL AUDIT AND CHIEF AUDIT EXECUTIVE FUNCTIONS

1. PROJECT TITLE

Outsourcing of the Internal Audit and Chief Audit Executive (CAE) functions.

2. MOTIVATION AND BACKGROUND

The Cross-Border Road Transport Agency (C-BRTA) is a schedule 3A public entity in terms of the Public Finance Management Act, No 1 of 199 (PFMA). It was established in terms of the Cross-Border Road Transport Act 4 of 1998, as amended.

The Board, is in terms of Section 51(1)(a)(ii) of the PFMA, required to ensure that this public entity has and maintains a system of internal audit under the control and direction of the Audit Committee that is compliant with the requirements prescribed in terms of Sections 76 and 77.

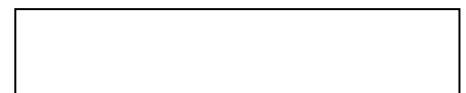
The Agency has a fully outsourced internal audit function which commenced on 1 December 2020 for a period of 36 months and terminates on 30 November 2023. The role of the Chief Audit Executive (CAE) was outsourced to the Director of the Internal Audit Firm with variation contract being concluded in August 2022.

The Agency calls for service providers to submit proposals to provide a fully outsourced internal audit services and Chief Audit Executive (CAE) role. The proposals should demonstrate that the C-BRTA obtains a value-added service from the appointed service provider for a three-year period, commencing in 2023/2024 to 2025/2026, subject to acceptable performance levels by the appointed service provider.

3. OBJECTIVES OF THE INTERNAL AUDIT FUNCTION

The objective of the C-BRTA's Internal Audit function is to:

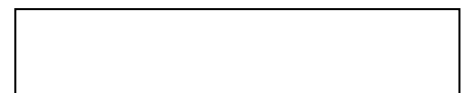
- 1) Provide independent, objective assurance and consulting services designed to add value and improve the C-BRTA's operations;
- 2) Assist the C-BRTA to accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes;
- 3) Render an independent appraisal function as a service to the Agency by examining and reviewing the effectiveness of internal controls for the purpose of assisting management in the effective discharge of their responsibilities;
- 4) Assist the Audit and Risk Committee (ARC) in the effective discharge of their responsibilities;



- 5) Furnish the ARC with analyses, appraisals, recommendations, counsel, and information concerning the activities that have been reviewed, as well as regular follow-ups; and
- 6) Review of objectives/standards/controls of the audit function, which are subject to evaluation, including:
 - a) Internal control processes;
 - b) The information systems environment;
 - c) The reliability and integrity of financial and operational information;
 - d) The effectiveness of operations;
 - e) Compliance with policies, regulations, and contracts;
 - f) The safeguarding of assets;
 - g) The economical and efficient use of resources;
 - h) The achievement of established annual performance outcomes and objectives;
and
 - i) Compliance with laws, regulations, and controls.

4. SCOPE OF WORK

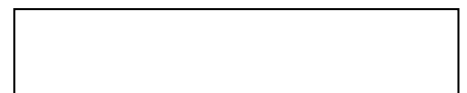
- 1) The internal audit function must, in consultation with Audit and Risk Committee (ARCOM), prepare:
 - a) A rolling three-year Internal Audit Plan based on its assessment of key areas of risk for the C-BRTA, having taken into consideration the Agency's current operations, the operations proposed in its strategic plan, and its risk management plan;
 - b) Detailed annual Internal Audit Plans;
 - c) Plans indicating the scope, cost, and timelines of each audit in the annual internal audit plan;
 - d) Audit reports directed to ARC on results of internal audit review conducted with recommendations and its performance against the plan to allow effective monitoring and intervention, when necessary; and
 - e) Co-ordinate with other internal and external providers of assurance to ensure proper coverage and minimal duplication of effort.



- 2) The internal audit function will also assist the Agency in maintaining effective controls by evaluating those controls and developing recommendations for enhancement or improvement. It must assist the Board in achieving the objectives of the C-BRTA by evaluating and developing recommendations for the enhancement or improvement of the processes through which:
 - a) Objectives are established and communicated;
 - b) The accomplishment of objectives is monitored;
 - c) Accountability is ensured;
 - d) The adequacy and effectiveness of the system of internal control are reviewed and appraised;
 - e) The relevance, reliability and integrity of management information, financial and operating data and reports are appraised;
 - f) Systems are established to ensure compliance with policies, plans, procedures, statutory requirements, and regulations, which could have a significant impact on operations, are reviewed;
 - g) The means of safeguarding assets are reviewed and deemed as appropriate in verifying the existence of such assets;
 - h) The economy, efficiency and effectiveness with which resources are employed are appraised;
 - i) The results of operations or programmes are reviewed to ascertain whether they are consistent with the C-BRTA established goals and outcomes, and whether the operations or programmes are being carried out as planned; and
 - j) The adequacy of established systems and procedures are assessed.

- 3) The audits to be taken into account includes, and is not limited to:
 - a) IT security and systems processes audit;
 - b) Conducting special assignments and consulting services, on behalf of ARC or the Board, into any matter or activity affecting the integrity, interests, and operating efficiency of the C-BRTA; and
 - c) Audit designed to detect fraud.

- 4) Reporting on fraud and irregularities:
 - a) In planning and conducting its work, the internal auditor should seek to identify



serious defects in internal controls, which might result in possible malpractices.

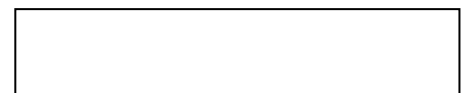
- 5) The Internal Audit function shall be accountable to the ARC of the C-BRTA to:
 - a) Periodically provide an assessment on the adequacy and effectiveness of C-BRTA processes for controlling its activities and managing its risks;
 - b) Report significant issues related to the processes for controlling the activities of the C-BRTA, including potential improvements to those and provide information concerning such issues through active and constructive resolution;
 - c) Provide information of the status and results of the annual audit plan and the sufficiency of internal audit resources; and
 - d) Coordinate with, and provide support or oversight of, other control and monitoring functions (risk management, compliance, security, legal, ethics, environmental, external audit).

5. INDEPENDENCE

Treasury Regulation 3.2.9 requires: "An internal audit function must report directly to the Accounting Officer and shall report at all audit committee meetings. The function must be independent of activities that are audited, with no limitation on its access to information. To provide for the independence of the Internal Audit function, the function reports administratively to the Accounting Officer and functionally to the Audit and Risk Committee of the C-BRTA. All relationships with any entity regulated by the C-BRTA must be disclosed.

6. RESPONSIBILITY OF THE CHIEF AUDIT EXECUTIVE

- a) Develop a flexible annual audit plan using an appropriate risk-based methodology, including any risk or control concerns identified by management and submit that plan to the Audit and Risk Committee for review and approval as well as periodic updates;
- b) Implement the annual audit plan as approved, including as appropriate any special tasks or projects requested by Management and/or the Audit and Risk Committee. All requests made must be Authorised by the Audit and Risk Committee;
- c) Maintain a professional audit staff with sufficient knowledge, skills, experience, and professional certifications to meet requirements of the Internal Audit Charter;
- d) Ensure that there is audit staff continuity;
- e) Be aware of changes in the environment and prioritize these for consideration by the



ARC;

- f) Issue periodic reports to the ARC and management summarizing the results of internal audit; and
- g) Attend each of the Audit and Risk Committee meetings and provide the meeting with an assessment of the adequacy and effectiveness of the management's governance, risk and control environment, and report on how Management will repair/mitigate any deficiencies identified.
- h) Attend any other internal or external meetings/engagement whenever necessary and needed.
- i) Keep the ARC informed of emerging trends and successful practices in internal audit.

7. STANDARDS OF AUDIT PRACTICES

The Internal Audit function should comply with the Standards for the Professional Practice of Internal Auditing. Internal Audits should be conducted in accordance with the Code of Ethics and the Standards for the Professional Practice of Internal Auditing as laid down by the Institute of Internal Auditors.

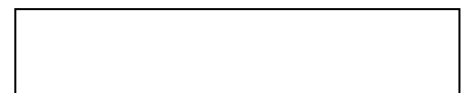
8. RELATIONSHIPS WITH EXTERNAL AUDITORS

The Internal Audit function will co-ordinate its work with other assurance providers as informed by the combined assurance framework. The External Auditors will assess the work of the Internal Audit in order to minimize duplication of audit effort.

9. EXPECTED OUTCOMES AND DELIVERABLES

All audit work must be carried out in line with the approved Internal Audit Charter and the approved Audit and Risk Committee Terms of Reference. Both the latter governance documents will be included in the Service Level Agreement.

All procedural documentation and working papers must be submitted and made available to the C-BRTA, even after expiry of the appointment. The working papers remain the property of the C-BRTA and shall, at the conclusion of every assignment, be handed over to the C-BRTA as evidence of review by a person nominated by the Chief Executive Officer of the C-BRTA. The bidders must formally make available, on request by the C-BRTA, all working papers, data, documents, reports and evidence collected or prepared during the planning, execution and reporting of an internal audit assignment, including electronic copies.



The successful service provider shall ensure that all work conforms to the International Standards for the Professional Practice of Internal Auditing. In addition, the work of the internal audit function will further be subjected to external quality assurance reviews as may be considered necessary.

10. PRICING SCHEDULE INCLUDING VAT

- 1) A cost estimate for the contract period must be quoted at an all-inclusive average rate, including resources requiring specialized expertise to be utilized.
- 2) The fee must also be based on the resources to be deployed to the Agency. In the past four years, the average number of hours spent on the project are 2500 hours per annum.
- 3) Provision must be made for the placing of an internal auditor/s at the C-BRTA offices.
- 4) Bidders are expected to populate the attached (SBD 3.1) Excel password protected pricing schedule digitally on the shaded rows, print the populated document, sign and submit.
- 5) Bidders may be requested to submit the (SBD 3.1) in soft copy format if C-BRTA deems it necessary to do so for purposes of verifying accuracy of the submitted tender price.

11. EVALUATION OF THE BID

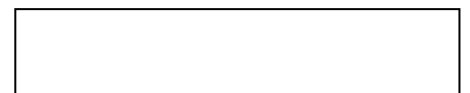
11.1. Background Information

Background information in respect of the C-BRTA can be found on the C-BRTA website at <https://www.cbrta.co.za>, and from the latest annual report (downloadable from <https://www.cbrta.co.za/resources>).

11.2. Evaluation Criteria

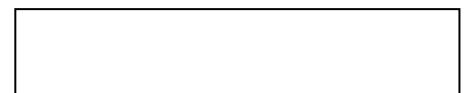
The evaluation will be completed in four phases, namely:

- Phase 1: Pre-Qualification / Mandatory.
- Phase 2: Functionality Evaluation:
 - 2A: Proposal.
 - 2B: Presentation.
- Phase 3: Administrative Compliance.
- Phase 4: Pricing and Specific Goals evaluation.



11.3. Phase 1: Pre-Qualification / Mandatory

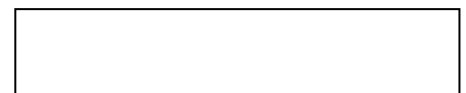
- Chief Audit Executive (CAE) requirement:
 - Experience of the CAE: minimum of 10 or more years as Audit Partner or Director; and
 - Qualifications of the CAE: Certified Internal Auditor (CIA).
- Valid evidence of IRBA registration of the company.
- Technical Proposal supporting the bid.
- Completed Pricing Schedule (SBD 3.1).
- Attendance of compulsory briefing session (to be checked against the attendance register).
- Submitted the response on or before the closing date and time in the C-BRTA tender box, specified on the cover page; the list of received bids will serve as evidence.
- In the case of joint ventures, bidder must submit a copy of the signed Joint Venture Agreement.



11.4. Phase 2: Functional Evaluation

Bids will be evaluated based on 80/20 preferential procurement system. The table below stipulates the evaluation criteria for selecting the service provider.

Evaluation Criteria – Phase 2 (A) Internal Audit Services			
PHASE 2 (A) The functional evaluation will be scored out of 100 points and will be determined as follows.			
Take note: A bidder who obtains less than 80 points in the Functionality phase (Phase 2A) shall be excluded from the next Presentation phase (Phase 2B) of the evaluation.			
Experience of the firm in similar assignments (20 Points)			
Brief company profile and display of internal audit experience with a minimum of 5 years' experience in the field, with at least 3 years' experience in providing in similar services to schedule 3A public entities.			
10 points	7 points	3 points	0 points
List of previous clients and details of services rendered provided. More than 9 years' internal audit experience.	List of previous clients and details of services rendered provided. 8 to 9 years' internal audit experience.	List of previous clients and details of services rendered provided. 5 to 7 years' internal audit experience.	No list of previous clients and details of services rendered provided. Less than 5 years' internal audit experience.
10 points	7 points	3 points	0 points
List of previous clients and details of services rendered provided. More than 7 years' Schedule 3A entities experience.	List of previous clients and details of services rendered provided. 6 to 7 years' Schedule 3A entities experience.	List of previous clients and details of services rendered provided. 3 to 5 years' Schedule 3A entities experience.	List of previous clients and details of services rendered provided. Less than 3 years' Schedule 3A entities experience.



Expertise of the Team (50 Points)

. The bidder(s) must indicate the Qualifications and experience of the proposed team to suit C-BRTA's requirements.

Provide CVs and skills matrix of the team (replacements must be professionals of the same expertise and experience IIA qualifications framework).

Information required must include the following:

- Educational background
- Relevant qualifications (provide certified copies of qualifications)
 - Detailed experience in IT auditing
 - Auditing of predetermined objectives
 - Audit forensics
 - Compliance and regulatory auditing

Audit Manager (10 points)

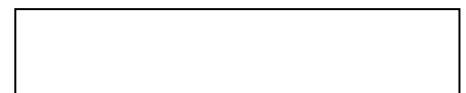
Qualification: CIA or CFE or Higher Qualification in Auditing, plus relevant experience in the auditing environment

10 Points	5 Points	3 Points	2 Points	0 Points
Ten or more years' experience.	Eight to nine years' experience	Five to seven years' experience	Two to four years' experience	Less than two years' experience

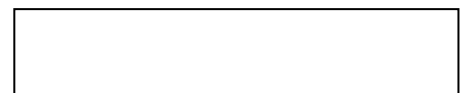
Senior Auditor (10 points)

Qualification: Diploma or B-Tech or B-Degree or higher qualification in auditing, plus relevant experience in the auditing environment

10 Points	5 Points	3 Points	2 Points	0 Points
Eight or more years' experience	Five to seven years' experience	Three to four years' experience	Two to three years' experience	Less than two years' experience



Senior IT Auditor (10 points)				
Qualification: Diploma or B Tech or B-Degree in Information Systems, Audit or CIA, plus relevant experience in the auditing environment				
10 Points	5 Points	3 Points	2 Points	0 Points
Eight or more years' experience	Six to seven years' experience	Four to five years' experience	Two to three years' experience	Less than two years' experience
Internal Auditor (10 points)				
Qualification: Diploma or B-Tech or B-Degree or higher qualification in auditing, plus relevant experience in the auditing environment				
10 Points	5 Points	3 Points	2 Points	0 Points
Eight or more years' experience	Six to seven years' experience	Four to five years' experience	Two to three years' experience	Less than two years' experience
Senior Forensic Investigator (10 points)				
Diploma or B Tech or B-Degree or higher qualification in forensic related field, plus relevant experience in forensic investigations				
10 Points	5 Points	3 Points	2 Points	0 Points
Eight or more years' experience	Six to seven years' experience	Four to five years' experience	Two to three years' experience	Less than two years' experience



Methodology and Approach (30 Points)

Proposed audit methodology and indicate how the service provider will address the scope of work (20 points):

20 Points	10 Points	5 Points	0 Points
Detailed information included, including strategy and plan.	Adequate information provided .	Minimum information provided.	No information provided.

Provide details of evidence and approach of how the service provider will ensure conformance to the IIA Standards (10 points):

10 Points	5 Points	0 Points
Detailed information, including previous quality assessment provided.	Adequate information provided.	Minimum information provided.

Evaluation Criteria – Phase 2 (B) Internal Audit Services

PHASE 2 (B) The presentation evaluation will be scored out of 100 points and will be determined as follows.

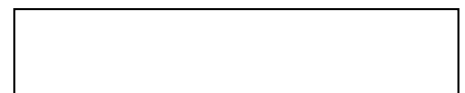
Take note: A bidder who obtains less than 80 points in the Presentation phase (Phase 2B) shall be excluded from the next evaluation phases - Phase 3: Administrative Price and Phase 4: Specific Goals Evaluations.

Presentation (100 points)

The prospective service provider must provide a presentation in accordance with the scope of work, that demonstrates how their services will provide value to the C-BRTA, according to the below scoring criteria.

Full points (per criteria) will be allocated for sufficient information that is provided in the proposal that demonstrates the technical approach, and zero (0) points will be allocated (per criteria) for no, poor or insufficient information provided.

- **Leadership (20 points)** – bidders must demonstrate how their experience will deliver value.



- **Relationship Management (20 points)** – bidders must demonstrate the engagement relationship will be managed.
- **Communication (15 points)** – bidders must demonstrate how they will communicate with Management and the Audit and Risk Committee.
- **Governance (15 points)** – bidders must demonstrate how they will track performance, continuous improvement, client satisfaction, and staff retention.
- **Methodology, Approach, and Technical Skill of Key Resources Dedicated to the C-BRTA (30 points)** – bidders must demonstrate how team knowledge and experience will deliver value, a summary of challenges previously experienced and resolution thereof, and the audit methodology and approach to be used.

11.5. Phase 3: Administrative Compliance

During the administrative evaluation phase, only bidders that passed **Phase 2 (B)** will be evaluated to verify the following:

- Tax Compliance in the CSD
- Completed Bid Conditions
- Signed General Condition of Contract
- Completed SBD 1: Invitation to Bid
- Completed SBD 4: Declaration of interest

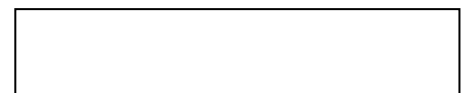
Note:

Bidders who do not submit the requested documents, will be given a maximum of 2 working/business days to submit. Failure to submit will render the bid response/submission as unacceptable, and therefore be disqualified.

Bidders who are not tax compliant will be afforded seven working days to correct non-tax compliant in the CSD, failure to correct will render the bid unacceptable, and be disqualified.

11.6. Phase 4: Price and Specific Goals Evaluations

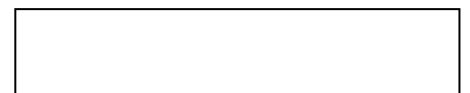
Only acceptable bidders will be evaluated on price and preference as per the Preferential Procurement Regulations, 2022 (PPR 2022) and the C-BRTA Preferential Procurement Policy. The (80/20) Preference System will be used for this tender, where a maximum of 80 points is allocated to price and a maximum of 20 points is allocated for specific goals as per the PPR2022. The Preference System will be determined by the price of the lowest acceptable tender.



The relevant formula for scoring price points and the methodology for scoring preference points (specific goals) are documented in the SBD6. 1. Bidders must ensure that they complete the SBD 6.1 to claim points for specific goals. Bidders that do not submit the correctly completed SBD 6.1 with the original bid submission will not score points for specific goals.

12. BRIEFING SESSION DATE AND TIME AND VENUE

All questions with regards the RFB may be submitted to tenders@cbrta.co.za via email and the closing date for submission of questions is on 04 September 2023 at 15h00. No late questions will be considered. The responses to questions will be emailed to service providers by the end of the next business day.





ANNEXURE A

SBD 1: INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE CROSS-BORDER ROAD TRANSPORT AGENCY

BID NUMBER: CBRTA/HO/0075 CLOSING DATE: 27 September 2023 CLOSING TIME: 11h00

OUTSOURCING OF THE INTERNAL AUDIT AND CHIEF AUDIT EXECUTIVE (CAE) FUNCTIONS FOR A PERIOD OF THIRTY SIX MONTHS (36)

The successful bidder will be required to complete and sign a Contract Form (SBD 7.2).

BID DOCUMENTS MAY BE POSTED TO:

Cross Border Road Transport,
350 Witch-Hazel Avenue,
Eco Point Office Park,
Centurion,
Pretoria

OR

DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)

Cross Border Road Transport Agency

Block A, Eco Park

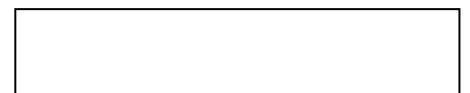
Centurion

Pretoria

Bidders should ensure that bids are delivered timeously to the correct address. If the bid is late, it will not be accepted for consideration.

The bid box is generally open from Monday to Friday from 7h30 to 16h00.

ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – (NOT TO BE RE-TYPED)



THE FOLLOWING PARTICULARS MUST BE FURNISHED (FAILURE TO DO SO WILL RESULT IN YOUR BID BEING DISQUALIFIED)

NAME OF BIDDER:

POSTAL ADDRESS:

STREET ADDRESS:

TELEPHONE NUMBER: CODE: NUMBER.....

CELLPHONE NUMBER:

FACSIMILE NUMBER: CODE NUMBER:

E-MAIL ADDRESS:

VAT REGISTRATION NUMBER:

HAS AN ORIGINAL AND VALID TAX CLEARANCE CERTIFICATE BEEN SUBMITTED?
(SBD 2) YES or NO

HAS A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE BEEN SUBMITTED? (SBD
6.1) YES or NO

IF YES, WHO WAS THE CERTIFICATE ISSUED BY [TICK APPLICABLE BOX] ?

AN ACCOUNTING OFFICER AS CONTEMPLATED IN THE CLOSE CORPORATION ACT (CCA)

A VERIFICATION AGENCY ACCREDITED BY THE SOUTH AFRICAN ACCREDITATION SYSTEM (SANAS);

A REGISTERED AUDITOR

(A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE)

ARE YOU THE ACCREDITED REPRESENTATIVE
IN SOUTH AFRICA FOR THE GOODS / SERVICES / WORKS OFFERED?

YES or NO (IF YES ENCLOSE PROOF)

SIGNATURE OF BIDDER:

DATE:

CAPACITY UNDER WHICH THIS BID IS SIGNED:

ANY ENQUIRIES REGARDING THE BIDDING PROCEDURE MAY BE DIRECTED TO:

Department: Supply Chain Management

E-mail address: tenders@cbrta.co.za

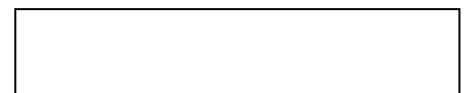
ANY ENQUIRIES REGARDING TECHNICAL INFORMATION MAY BE DIRECTED TO:

E-mail address: tenders@cbrta.co.za

ANNEXURE B

SBD 2: TAX CLEARANCE REQUIREMENTS

It is a condition of bid that the taxes of the successful bidder must be in order at the time of award, or that satisfactory arrangements have been made with South African Revenue Service (SARS) to meet the bidder's tax obligations.



ANNEXURE B

DECLARATION OF INTEREST

BIDDER'S DISCLOSURE

SBD 4

1. PURPOSE OF THE FORM

Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. Bidder's declaration

2.1 Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest¹ in the enterprise, employed by the state? **YES/NO**

2.1.1 If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

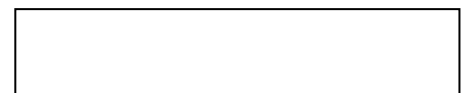
2.2

Full Name	Identity Number	Name of State institution

Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution? **YES/NO**

2.2.1 If so, furnish particulars:

¹ the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.



.....
.....
2.3 Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?

YES/NO

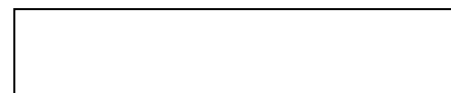
2.3.1 If so, furnish particulars:
.....
.....

3 DECLARATION

I, the undersigned, (name)..... in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

- 3.1 I have read and I understand the contents of this disclosure;
- 3.2 I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;
- 3.3 The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium will not be construed as collusive bidding.
- 3.4 In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.
- 3.5 The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.
- 3.6 There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.
- 3.7 I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.



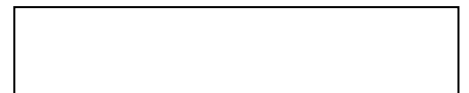
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....
Signature

.....
Date

.....
Position

.....
Name of bidder



ANNEXURE C:

SBD 6.1

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 The applicable preference point system for this tender is the **80/20** preference point system.

1.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

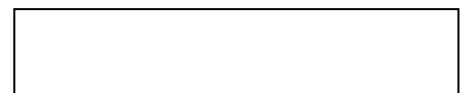
- (a) Price; and
- (b) Specific Goals.

1.4 The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80
SPECIFIC GOALS	20
Total points for Price and SPECIFIC GOALS	100

1.5 Points for specific goals for this tender will be allocated on the basis B-BBEE Status Level as shown in Table 1 below.

1.6 In order to claim points for specific goals, bidders must submit B-BBEE Certificate



and/or sworn affidavit, as the case may be.

- 1.7 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.8 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2. DEFINITIONS

- (a) “**tender**” means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) “**price**” means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) “**rand value**” means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) “**tender for income-generating contracts**” means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) “**the Act**” means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1. POINTS AWARDED FOR PRICE

2.

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

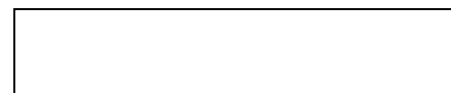
$$\begin{array}{ccc} \mathbf{80/20} & \mathbf{or} & \mathbf{90/10} \\ P_s = 80 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right) & \mathbf{or} & P_s = 90 \left(1 - \frac{P_t - P_{min}}{P_{min}} \right) \end{array}$$

Where

P_s = Points scored for price of tender under consideration

P_t = Price of tender under consideration

P_{min} = Price of lowest acceptable tender



No	The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system)	Means of verification	Number of points claimed (80/20 system) (To be completed by the tenderer)
A	BBBEE Level 1 - 4	10	BBBEE or Sworn affidavit submitted with the bid	
B	100% Women owned Enterprises	5	Central Supplier Database	
C	Enterprises owned by Disable people	5	Medical report	
D	Total point claimed	D= A + B + C		

5. SUBMISSIONS BY CONSORTIUMS AND JOINT VENTURES

5.1 If a submission is made by a consortium or Joint Venture, the points claimed for ownership must be detailed separately on an attachment showing the following:

- The percentage (%) of the contract allocated to each JV member or consortium member. This should also be included in an agreement to be made available on request by C-BRTA
- The percentage ownership by race category of each JV member or consortium member in each of the specific goals relevant to this bid.
- The total points claimed will be the sum of the percentage contract allocation for each partner multiplied by the percentage weighting for the race category, multiplied by the percentage ownership in the relevant specific goal.

5.

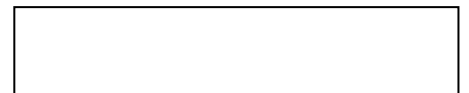
6. DECLARATION WITH REGARD TO COMPANY/FIRM

6.1. Name of company/firm.....

6.2. Company registration number:

6.3. TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
- One-person business/sole propriety
- Close corporation
- Public Company
- Personal Liability Company



- (Pty) Limited
 - Non-Profit Company
 - State Owned Company
- [TICK APPLICABLE BOX]

6.4. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
 - (a) disqualify the person from the tendering process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
 - (e) forward the matter for criminal prosecution, if deemed necessary.

.....
SIGNATURE(S) OF TENDERER(S)

SURNAME AND NAME:

DATE:

ADDRESS:

.....

.....

.....

.....

ANNEXURE: D

SBD 7.2

CONTRACT FORM – OUTSOURCING OF THE INTERNAL AUDIT AND CHIEF AUDIT EXECUTIVE (CAE) FUNCTIONS

THIS FORM MUST BE FILLED IN DUPLICATE BY BOTH THE SERVICE PROVIDER (PART 1) AND THE PURCHASER (PART 2). BOTH FORMS MUST BE SIGNED IN THE ORIGINAL SO THAT THE SERVICE PROVIDER AND THE PURCHASER WOULD BE IN POSSESSION OF ORIGINALLY SIGNED CONTRACTS FOR THEIR RESPECTIVE RECORDS.

PART 1 (TO BE FILLED IN BY THE SERVICE PROVIDER)

1. I hereby undertake to render services described in the attached bidding documents to **Cross Border Road Transport Agency** in accordance with the requirements and task directives / proposals specifications stipulated in Bid Number **CBRTA/HO/0075** at the price/s quoted. My offer/s remain binding upon me and open for acceptance by the Purchaser during the validity period indicated and calculated from the closing date of the bid.
2. The following documents shall be deemed to form and be read and construed as part of this agreement:
 - (i) Bidding documents, viz
 - Invitation to bid;
 - Tax clearance certificate;
 - Pricing schedule(s);
 - Filled in task directive/proposal;
 - Preference claims for Broad Based Black Economic Empowerment Status Level of Contribution in terms of the Preferential Procurement Regulations 2011;
 - Declaration of interest;
 - Declaration of bidder's past SCM practices;
 - Certificate of Independent Bid Determination;
 - Special Conditions of Contract;
 - (ii) General Conditions of Contract; and
 - (iii) Other (specify)
3. I confirm that I have satisfied myself as to the correctness and validity of my bid; that the price(s) and rate(s) quoted cover all the services specified in the bidding documents; that the price(s) and rate(s) cover all my obligations and I accept that any mistakes regarding price(s) and rate(s) and calculations will be at my own risk.
4. I accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me under this agreement as the principal liable for the due fulfillment of this contract.
5. I declare that I have no participation in any collusive practices with any bidder or any other person regarding this or any other bid.



6. I confirm that I am duly authorized to sign this contract.

NAME (PRINT)

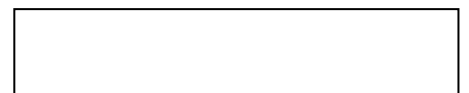
CAPACITY

SIGNATURE

NAME OF FIRM

DATE

WITNESSES	
1
2



CONTRACT FORM – OUTSOURCING OF THE INTERNAL AUDIT AND CHIEF AUDIT EXECUTIVE (CAE) FUNCTIONS

PART 2 (TO BE FILLED IN BY THE PURCHASER)

1. I..... in my capacity as
Accept your bid under reference number dated..... for the rendering of services indicated hereunder and/or further specified in the annexure(s).
2. An official order indicating service delivery instructions is forthcoming.
3. I undertake to make payment for the services rendered in accordance with the terms and conditions of the contract, within 30 (thirty) days after receipt of an invoice.

DESCRIPTION OF SERVICE	PRICE (ALL APPLICABLE TAXES INCLUDED)	COMPLETION DATE	B-BBEE STATUS LEVEL OF CONTRIBUTION

4. I confirm that I am duly authorized to sign this contract.

SIGNED ATON.....

NAME (PRINT)

SIGNATURE

OFFICIAL STAMP

WITNESSES

1

....

2

